

Republic of Kenya

MINISTRY OF LABOR AND SOCIAL PROTECTION,
MINISTRY OF GENDER, CULTURE AND CHILDREN SERVICES,
and

THE MINISTRY OF EAST AFRICAN COMMUNITY, ARID AND SEMI-ARID
LAND AND REGIONAL DEVELOPMENT

Second Kenya Social and Economic Inclusion Project (P504218) and First Additional Financing (P504218)

Appraisal/Negotiation

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

May 2026

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Kenya (the Recipient) is implementing the Second Kenya Social and Economic Inclusion Project (the Project) (KSEIP2), with the involvement of the State Department for Social Protection and Senior Citizens Affairs (SDSP) in the Ministry of Labor and Social Protection, the State Department for Children Services (SDCS) in the Ministry of Gender, Culture and Children Services, and the National Drought Management Authority (NDMA) in the Ministry of East Africa Community, the Arid and Semi-Arid Lands (ASALs) and Regional Development as set out in the Original Financing Agreement and the Additional Grant Agreement (the Agreements). The International Bank for Reconstruction and Development and the International Development Association (together, the Bank), acting as the administrator of the Rapid Social Response Program Multi-Donor Trust Fund, has agreed to provide the original financing and additional financing for the Project, as set out in the Agreements. This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for the Project referred to above.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreements. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreements, the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Bank and the Recipient agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient's Representative specified in the Agreements or the Cabinet Secretary in the Ministry of Labor and Social Protection. The Recipient shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT			
A	<p>ORGANIZATIONAL STRUCTURE</p> <p>a. Maintain a Project Implementation Unit (PIU) with qualified full-time staff and resources to support management of environmental, social, health, safety and security risks and impacts of the Project, including: one experienced EHS Officer, one Social Officer, and one Grievance Officer at the Directorate of Social Assistance (DSA), with a strong social background, including social inclusion, grievance and GBV (SEA/SH) management. Designated E&S focal persons under the Directorate of Social Assistance (DSA), State Department for Children Services (SDCS), Directorate for Social Development (DSD), National Council of Persons with Disability (NCPWD), National Drought Management Authority, and SDSP/NSPS (National Social Protection Secretariat). At the county level maintain the SDSP County Coordinators, SDCS County Coordinators, NDMA County Drought Coordinator as E&S focal persons.</p> <p>b. Appoint a GBV specialist on part-time basis to manage the SEA/SH risks.</p> <p>c. Where needed, engage specialists with expertise in specific areas, such as biodiversity, sustainable fishing practices, among others, as required.</p>	<p>Maintain the PIU, one EHS Officer, one Social Officer, a Grievance Officer at DSA, and the E&S focal points at DSA, SDCS, DSD, NCPWD and SDSP/NSPS throughout Project implementation.</p> <p>At the County level, maintain the designated SDSP Coordinators, SDCS Coordinators and NDMA Drought Coordinators as the E&S focal points at each participating county throughout the Project implementation.</p> <p>Appoint a GBV Specialist within 60 days of AF effectiveness and maintain throughout Project implementation.</p> <p>When needed to inform preparation and implementation of activity-specific ESMP.</p>	SDSP, SDCS and NDMA
B	<p>CAPACITY BUILDING PLAN/MEASURES</p> <p>Prepare and implement the following capacity building measures:</p>		SDSP, SDCS and NDMA
	<p>1. Train all Project workers, including community volunteer groups, on the Bank’s ESF, and provisions in the Environmental and Social Commitment Plan (ESCP), Stakeholder Engagement Plan (SEP), Project’s Labor Management Procedures (LMP), SEA/SH Prevention and Response Action Plan, Vulnerable and Marginalized Groups Framework (VGMF), Vulnerable and Marginalized Groups Plan (VMGP), Social Management Plan, Environmental and Social screening procedures, Environmental and Social Management Plan (ESMP), livelihoods interventions’ exclusion list, and Project-wide and workers grievance mechanisms, in form and substance satisfactory to the Bank. All capacity building interventions will be held in locations and using formats accessible to all, and information disseminated in languages understandable to all.</p>	<p>1. Within 90 days of the AF Project Effectiveness Date and thereafter, Undertake refresher training annually, during the Project implementation.</p>	

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	2. Train beneficiary households on the activity-specific Environmental and Social Management Plan (ESMP), Project-wide grievance mechanism, community health and safety, as well as emergency preparedness and response under this ESCP, the environmental and social instruments referenced herein and the Environmental and Social Standards, in form and substance satisfactory to the Bank. Targeted training for the beneficiaries of Component 2a-b should include the safe use and handling of chemicals (e.g., pesticides, fertilizers, vaccines, and other drugs); fishing restrictions to support sustainable fishing practices; environmental awareness on conservation biodiversity and cultural values in the Tana Delta and Lake Turkana.	2. Before commencement of activities and refresher training, during the Project implementation. 3. Before deployment of workers to the ASAL areas, and refresher training during the Project implementation.	
	3. Train all Project workers on the Project's Security Management Plan, including on emergency prevention and preparedness and response arrangements to emergency situations.	4. Within 90 days of the AF Project Effective Date and thereafter, undertake refresher training annually during the Project implementation.	
	4. Train Project workers on Occupational Health and Safety (OHS) and SEA/SH Incident Reporting procedure. 5. Train workers on Code of Conduct.	5. Before deployment of workers to project areas, and refresher training during the Project implementation.	
MONITORING AND REPORTING			
	<p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (E&S) performance of the Project. The reports shall include, but not be limited to:</p> <ul style="list-style-type: none"> • Status of preparation and implementation of E&S documents required under the ESCP, including Labor Management procedures (LMP), Stakeholder Engagement Plan (SEP), Security Management Plan (SecMP), Sexual Exploitation and Abuse and Sexual Harassment Prevention and Response Action Plan, Social Management Plan (SMP), Vulnerable and Marginalized Group Plan (VMGP) and ESMPs. • Summary of stakeholders' engagement activities carried out as per the SEP. • Complaints submitted to the Project's grievance mechanism, updated grievance log, and progress made in resolving them. • E&S performance of contractors and subcontractors as reported through monthly contractors' reports. • Number and status of resolution of incidents and accidents reported under action E below. 	Submit quarterly and annual reports to the Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 30 days after the end of each reporting period.	SDSP, SDCS and NDMA
D	<p>CONTRACTORS' MONTHLY REPORTS</p> <p>Require contractors (mentors, trainers, payment service providers, implementing partners) engaged in the Project to provide monthly monitoring reports on E&S performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Bank.</p>	Submit the monthly reports to the Bank as annexes to the reports to be submitted under action C above and upon request by the Bank.	SDSP, SDCS and NDMA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
E	<p>INCIDENTS AND ACCIDENTS</p> <p>Notify the Bank of any incident or accident relating to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.</p> <p>Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Bank, and implement a Corrective Action Plan (CAP) that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident. Provide available details upon request.</p> <p>Provide review report, and Corrective Action Plan (CAP) to the Bank no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Bank.</p>	SDSP, SDCS and NDMA
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS	a. Maintain ESMP template consistent with applicable ESSs, exclusion criteria for livelihood	SDSP, SDCS and NDMA
	<p>a. Maintain a template Environmental and Social Management Plan (ESMP) for the Project, exclusion criteria for livelihood intervention activities and Environmental and Social (E&S) screening procedures as part of the Project's Operation Manual (POM) consistent with all the relevant ESSs.</p> <p>b. Prepare and implement site-specific Environmental and Social Management Plans (ESMPs) for subprojects supported under Components 2 and 3 of the Project, consistent with the relevant ESSs. The activities described in the exclusion list set out in Part 1.4 below shall be ineligible to receive financing under the Project.</p>	<p>interventions and E&S screening procedures as annexed to the POM.</p> <p>b. Prior to commencing any livelihood activity under EIP and thereafter implement the site-specific ESMPs throughout activity implementation.</p>	
1.2	MANAGEMENT OF CONTRACTORS	As part of the preparation of procurement documents and respective contracts.	SDSP, SDCS and NDMA
	Incorporate the relevant aspects of the ESCP, including, inter alia, site-specific ESMPs, SecMP, SEA/SH Prevention and Response Action Plan, the Labor Management Procedures, and code of conduct, into the E&S specifications of the procurement documents and contracts with contractors. Thereafter ensure that the contractors comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors to the Bank.	Supervise contractors throughout Project implementation. Copies of relevant contracts shall be provided to the Bank upon request.	

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.3	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), policy formulation, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter, ensure that the outputs of such activities comply with the approved terms of reference.</p>	<p>Throughout Project implementation. ToRs for TA activities shall be reviewed and approved by the Bank before commencing TA activities.</p>	SDSP, SDCS and NDMA
1.4	<p>EXCLUSION LIST</p> <p>The following activities shall not be eligible to receive financing under the Project, as set out in the POM:</p> <ol style="list-style-type: none"> 1. Activities categorized as High risk, per World Bank ESF and ESSs definition as well as per the environmental management and co-ordination act (EMCA). 2. Activities that may cause long term, permanent and/or irreversible adverse environmental impacts (e.g., loss of major natural habitats including habitats of wildlife and fisheries). 3. Activities that may cause adverse impacts on biodiversity conservation and sustainable management of living natural resources. 4. Activities that have a high probability of causing serious adverse effects to human health and/or the environment. 5. Activities that contravene the Recipient's obligations under its international agreements. 6. Activities that involve production or trade in pesticides/herbicides subject to international phase-outs or bans. 7. Activities that may cause damage to cultural heritage as defined in ESS8. 8. Activities that may involve generating large volumes of e-waste causing significant irreversible adverse impacts to human health and natural resources. 9. Activities that would disproportionately affect the minority Vulnerable and Marginalized Groups (VMGs). 10. Activities that may have significant adverse social impacts and/or may give rise to significant social conflict. 11. Activities that may result in temporary or permanent physical and or economic displacement, and 	<p>Screen EIP activities against the exclusion criteria before commencement of the activities.</p>	SDSP, SDCS and NDMA
ESS 2: LABOR AND WORKING CONDITIONS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p> <p>Ensure the Community Health Promoters on the Project receive remuneration equal to the national minimum wage in case they are engaged full-time.</p>	Implement the updated LMP throughout Project implementation.	SDSP, SDCS and NDMA
	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Maintain grievance mechanism for Project workers (direct and contracted), including assignment of workers' grievance focal points, as described in the LMP and consistent with ESS2.</p> <p>Establish and operate a separate grievance mechanism for community workers consistent with ESS</p>	<p>Maintain the grievance mechanism for direct and contracted workers.</p> <p>Establish and operationalize separate grievance mechanisms for community workers 30 days prior to commencement of the activity and thereafter maintain, publicize and operate it throughout sub-Project implementation.</p>	SDSP, SDCS and NDMA
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency and pollution prevention and integrated pest management measures in the template ESMP and site-specific ESMPs to be prepared under action 1.1 above.</p>	Same timeframe as for the preparation and implementation of the site-specific ESMPs.	SDSP and NDMA
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY</p> <p>Incorporate measures to manage road safety risks to community members arising from use of vehicles in the Project, in the ESMPs to be prepared under action 1.1 above.</p>	Same timeframe as for the preparation and implementation of the site-specific ESMPs.	SDSP, SDCS and NDMA
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, behavior of Project workers, handling of agrochemicals, use of security personnel, response to emergency situations, and include mitigation measures in the site-specific ESMPs to be prepared in accordance with POM.</p>	Same timeframe as for the preparation and implementation of the site-specific ESMPs.	SDSP, SDCS and NDMA
4.3	<p>SEA AND SH RISKS</p> <p>Update and implement the SEA/SH Prevention and Response Action Plan to assess and implement measures to manage the risks of SEA and SH.</p>	Update and Implement the SEA/SH Action Plan within 60days of AF project effectiveness and throughout Project	SDSP, SDCS and NDMA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		implementation.	
4.4	<p>SECURITY MANAGEMENT</p> <p>Implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard Project workers, sites, assets, and activities as set out in the Security Management Plan.</p>	Implement the Security Plan (SecMP) throughout Project implementation.	SDSP, SDCS and NDMA
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	Not currently relevant to the project.		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Prepare and implement Biodiversity Management Measures as part of the site-specific ESMPs under action 1.1 above, and consistent with ESS6. Screen activities under Components 2a and 2b, prepare, consult on, adopt, publicly disclose, site-specific ESMPs with relevant mitigation measures for activities that are likely to have impacts on biodiversity and thereafter implement such ESMPs.</p>	Same timeframe as 1.1.	SDSP and NDMA
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	<p>VULNERABLE AND MARGINALIZED GROUPS (FRAMEWORK AND PLANS)</p> <p>1) Update and implement the KSEIP1 Social Assessment (SA), including a Social Management Plan (SMP), for the Project consistent with ESS7. The SA will identify the barriers to access and potential detrimental impacts of the proposed reforms, inclusion of PWDs, minority VMGs, young mothers, challenges pertaining to acquisition of legal documents and accessing payment service providers and the appropriate mitigation measures.</p> <p>2) Update the Vulnerable and Marginalized Groups Framework (VMGF) prepared under the Project, consistent with ESS7.</p> <p>3) Consult on the VMGF with minority VMG communities, their leadership and organizations that represent their interests and prepare and implement the community- specific VMGPs, including an engagement strategy consistent with ESS7.</p>	<p>1) Update within 90 days of AF Project Effective Date and thereafter implement the SA/SMP throughout Project implementation.</p> <p>2) Consult on the VMGF with minority VMGs, their leadership and organizations that represent their interests, within 90 days of AF Project Effective Date and Implement the respective community specific VMGPs throughout Project implementation.</p> <p>3) Consult and prepare the community-specific VMGPs within 90 days of AF Project Effective Date and implement the VMGP throughout Project</p>	SDSP, SDCS and NDMA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		implementation.	
ESS 8: CULTURAL HERITAGE			
8.1	Consistent with ESS8, prepare, disclose, and implement site-specific ESMPs for activities under component 2. As part of activity-specific ESMP awareness, train the Project beneficiaries of fishing activities along Lake Turkana and Tana River delta on sustainable fishing practices and cultural values within the Project areas.	Same timeframe as 1.1.	SDSP and NDMA
ESS 9: FINANCIAL INTERMEDIARIES [This standard is only relevant for Projects involving Financial Intermediaries (FIs).]			
9.1	Not currently relevant to the project.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN Update and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation. The SEP shall be updated, when necessary, throughout Project implementation to reflect any adjustment in Project activities and actors, as well as the views of beneficiaries and other relevant stakeholders.	Implement the updated SEP throughout Project implementation.	SDSP, SDCS and NDMA
10.2	PROJECT GRIEVANCE MECHANISM Maintain, publicize, and operate an accessible grievance mechanism (GM), to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner. The project GM will be culturally appropriate and accessible to VMGs and considers the availability of judicial recourse and customary dispute settlement mechanisms among VMGs.	Maintain and operate the existing grievance mechanism, inclusive of a GM process to handle incidents related to SEA/SH. Ensure that all channels of the GM, including the referral pathway for GBV services are fully operational within two months of the Effective Date of AF, and ensure the GM is operational throughout Project implementation.	SDSP, SDCS and NDMA
INDICATORS FOR IMPLEMENTATION READINESS			
No outstanding measures and actions for AF implementation readiness			